



Quarterly Fines Report 14 – Quarter 1 2012/13

An Official Statistics Publication for Scotland

Fines and financial penalties imposed or registered up to 30 June 2012, as at 11 October 2012

This Report presents information on fines and other financial penalties as at 11 October 2012. The report covers the financial years - 2009/10, 2010/11, 2011/12 and 2012/13 Q1. Previous quarterly reports can be viewed within the 'Statistics' section of webpage <http://www.scotcourts.gov.uk/about-the-scottish-court-service/reports-data>.

Sheriff Court Fines Summary

Reporting on the most recent full three year period, as at 11 October 2012, 85% of the value of Sheriff Court fines imposed over the period 1 April 2009 to 31 March 2012 has either been paid or is on track to be paid through instalments. This is a 2 percentage point decrease on the value paid or on track to be paid through instalments for the same period as at 12 July 2012.

Of the number of fines imposed over these three financial years, 70% have been fully paid as at 11 October 2012. This is an increase of 4 percentage points on these fines fully paid for the same period as at 12 July 2012.

As at 11 October 2012, 87% of the value of Sheriff Court fines imposed over the period 1 April 2012 to 30 June 2012 has either been paid fully or is on track to be paid through instalments. For this same period, the number of fines fully paid is 30%.

For fines imposed in 2011/12, 64% of the value has been paid as at 11 October 2012. This is an increase of 6 percentage points compared with 58% of the value paid as at 12 July 2012.

For fines imposed in 2010/11, 80% of the value has been paid as at 11 October 2012. This is an increase of 2 percentage points compared with 78% of the value paid as at 12 July 2012.

For fines imposed in 2009/10, 87% of the value has been paid as at 11 October 2012. This is an increase of 2 percentage points compared with 85% of the value paid as at 12 July 2012.

Justice of the Peace (JP) Court Fines Summary

For JP Court Fines imposed in the financial year 2012/13 for the period 1 April 2012 to 30 June 2012, 79% of the value has been paid or is on track to be paid by instalments as at 11 October 2012. For this same period, the number of fines fully paid stands at 51%.

For JP Court Fines imposed in the financial year 2011/12, 71% of the value has been paid as at 11 October 2012. For this same period, the number of fines fully paid stands at 66%.

For JP Court Fines imposed in 2010/11, 79% of the value has been paid as at 11 October 2012. This is an increase of 2 percentage points compared with 77% of the value paid as at 12 July 2012.

For JP Court Fines imposed in the financial year 2009/10, 85% of the value has been paid or is on track to be paid by instalments as at 11 October 2012. This is an increase of 4 percentage point compared with 81% of the value paid as at 12 July 2012.

Of the number of JP Court Fines imposed in 2009/10, 80% have been fully paid as at 11 October 2012. This is an increase of 2 percentage points on the rate as at 12 July 2012.

Fiscal Direct Penalties Summary

For Fiscal direct penalties registered in 2012/13 for the period 1 April 2012 to 30 June 2012, 63% of the value has been paid or is on track to be paid by instalments as at 11 October 2012. For this same period, the number of fines fully paid stands at 21%.

For Fiscal direct penalties registered in 2011/12, 47% of the value has been paid as at 11 October 2012. This is an increase of 6 percentage points compared with 41% of the value paid as at 12 July 2012.

For the Fiscal direct penalties registered in 2010/11, 62% of the value has been paid as at 11 April 2011. This is an increase of around 3 percentage points compared with 59% of the value paid as at 12 July 2012.

For Fiscal direct penalties registered in 2009/10, 78% of the value has been paid or is on track to be paid by instalments as at 11 October 2012. This is an increase of 3 percentage points on the rate as at 12 July 2012.

Of the number of Fiscal direct penalties registered in 2009/10, 68% have been fully paid as at 11 October 2012. This is an increase of 4 percentage points on the rate as at 12 July 2012.

Police Antisocial Behaviour Fixed Penalties Summary

For Police Antisocial Behaviour Fixed Penalties registered in 2012/13 for the period 1 April 2012 to 30 June 2012, 49% of the value has been paid or is on track to be paid by instalments as at 11 October 2012. For the same period, the number of fines fully paid stands at 53%.

For Police Antisocial Behaviour Fixed Penalties registered in 2011/12, 56% of the value has been paid as at 11 October 2012. This is an increase of around 2 percentage point compared with 54% of the value paid as at 12 July 2012.

For Police Antisocial Behaviour Fixed Penalties registered in 2010/11, 65% of the value has been paid as at 11 October 2012. This is an increase of around 2 percentage points compared with 63% of the value paid as at 12 July 2012.

For Police Antisocial Behaviour Fixed Penalties registered in 2009/10, 72% of the value has been paid or is on track to be paid by instalments as at 11 October 2012. This is an increase of around 1 percentage point compared with 71% of the value which has been paid or is on track to be paid by instalments as at 12 July 2012.

Of the number of penalties registered in 2009/10 75% have been fully paid as at 11 October 2012. This is an increase of around 2 percentage point on the previous rate of 73% of the number of fines fully paid as at 12 July 2012.

Enforcement Action

All outstanding fines and financial penalties for which SCS is responsible are being pursued. Enhanced tracing facilities make it easier to use the full range of enforcement actions which include benefit deductions, freezing bank accounts, arresting wages and seizing cars. The information included in this report relates to fines collection up to 11 October 2012, although for some enforcement actions there may be a time lag between the enforcement action being applied and subsequent payments being received.

Other enforcement measures include using tracing facilities to ensure that defaulters are identified quickly and to speed up enforcement action. The tracing facilities provide information on aliases, employment history, bank accounts, and credit cards. Sheriff Officers also help to target persistent defaulters who are ignoring payment demands. Deducting payments from benefits is now an automated process and a new online payment facility is increasing electronic payments. All these measures ensure that offenders cannot avoid the penalty and are encouraged to make prompt payment.

Enforcement Action (covering Sheriff Court fines, Justice of the Peace (JP) Court fines, Fiscal penalties and Police Antisocial Behaviour Fixed Penalties imposed from Q1 2009/10 to Q1 2012/13)

- At 11 October 2012 over 401,500 enforcement orders had been granted by the courts (over 26,100 of these granted within the 3 months prior to 11 October 2012). This is the initial step required to pursue enforcement action.
- Fines Enforcement Officers have agreed revised payment terms in almost 114,300 accounts (over 8,600 of these agreed within the 3 months prior to 11 October 2012), helping individuals maintain payments.
- Over 83,800 benefit deduction orders have been granted, and over 16,300 earnings arrestment orders have been issued (including 8,600 granted and 2,300 issued respectively within the 3 months prior to 11 October 2012).
- Where people are unable to pay, courts have imposed supervised attendance orders on over 7,900 people as an alternative punishment (where over 380 of these were imposed within the 3 months prior to 11 October 2012).

An Enforcement Order is an order made by the court setting out the payment rate of a fine, explaining what will happen if the fine isn't paid, and giving the Fines Enforcement Officer (FEO) the power to take action.

A Deduction from Benefits Order is an order made by the court which allows the Department of Work and Pensions (DWP) to deduct money from an offender's benefits and pay it directly towards their fine.

An Earnings Arrestment Order is an order made by the court instructing an employer to make regular deductions from an employee's wages which are paid directly towards the employee's fine.

A Supervised Attendance Order is an order made by the Court for Sheriff or JP Court Fines as an alternative to imprisonment if the offender defaults in payment of their fine. The offender must attend a place of supervision and carry out instructions given by their Supervising Officer.

Value of Fines¹ (£m) As at 11 October, 2012

		Total Value To Be Paid ⁶ (£m)	Value Paid To Date ⁷ (£m)	Value with Payments On Track ⁷ (£m)	Value In Arrears ⁷ (£m)
3 Year Total	Sheriff Court Fines²	40.6	31.0	3.7	5.9
FY 2009/10 to FY 2011/12			76.4%	9.0%	14.6%
FY 2009/10	Sheriff Court Fines ²	12.2	10.6 86.6%	0.5 4.3%	1.1 9.2%
FY 2010/11	Sheriff Court Fines ²	13.4	10.7 79.6%	1.1 8.0%	1.7 12.4%
FY 2011/12	Sheriff Court Fines ²	14.9	9.7 65.2%	2.1 13.8%	3.1 21.0%
FY 2012/13 Q1	Sheriff Court Fines ²	2.9	1.5 51.1%	1.0 36.1%	0.4 12.8%
FY 2009/10	JP Court Fines ³	5.4	4.4 82.4%	0.1 2.3%	0.8 15.3%
	Fiscal Direct Penalties ⁴	3.5	2.6 73.7%	0.1 4.0%	0.8 22.2%
	Police Antisocial Behaviour Penalties ⁵	1.8	1.3 71.3%	0.0 1.0%	0.5 27.7%
FY 2010/11	JP Court Fines ³	7.2	5.7 79.0%	0.3 4.0%	1.2 17.1%
	Fiscal Direct Penalties ⁴	3.8	2.4 62.3%	0.3 7.1%	1.2 30.6%
	Police Antisocial Behaviour Penalties ⁵	2.6	1.7 64.8%	0.1 2.1%	0.9 33.1%
FY 2011/12	JP Court Fines ³	6.7	4.7 71.1%	0.6 8.6%	1.4 20.4%
	Fiscal Direct Penalties ⁴	4.7	2.2 46.6%	0.5 10.4%	2.0 43.0%
	Police Antisocial Behaviour Penalties ⁵	2.6	1.5 56.3%	0.1 2.2%	1.1 41.5%
FY 2012/13 Q1	JP Court Fines ³	1.6	0.9 58.2%	0.3 20.6%	0.3 21.2%
	Fiscal Direct Penalties ⁴	1.2	0.4 28.6%	0.4 34.5%	0.5 36.9%
	Police Antisocial Behaviour Penalties ⁵	0.7	0.3 46.8%	0.0 2.1%	0.4 51.0%

Source: Scottish Court Service, Management Information & Statistics Team - COP2 Data Extraction.

Notes:

- Figures include fines against both persons and companies. The figures relate to the value of fine accounts. An account may contain more than one fine if an offender receives more than one fine on a single day.
- Includes Sheriff Court Fines, Compensation Orders and Confiscation Orders.
- Includes JP Court Fines and Compensation Orders.
- Includes Fiscal Fines, Fiscal Compensation Offers and the monetary amount of Fiscal Combined Offers.
- The Police Anti-social Behaviour Penalty figures include Police Fines and those that, if unpaid, become Registered Fines. "Total Value to be paid" is consequently the sum of Police Fines paid (£40 each) and Registered Fines (£60 each), minus discharges. The payment percentages relate to proportions of "Total Value to be Paid". "Total Value to be Paid" and "Value Paid To Date" also sum payments received from both Police Fines and Registered Fines.
- This figure is the total fines imposed minus any discharges. Fines may be discharged for a number of reasons. These include a sheriff or JP imposed alternative sentence, imprisonment or death of a defaulter. Discharges include both full discharges and fines which have been discharged following part-payment. The values of unpaid £40 Police Fines are discharged when they become £60 Registered Fines to avoid double counting.
- Percentages are presented as a proportion of "Total Value to be Paid".

Other notes: Values and percentages may not add up to their constituent subtotals and totals due to rounding. Values of £0.0m in the table denote values of less than £50,000 that have been rounded down.

Number of Fines¹ As at 11 October, 2012

		Number To Be Paid ⁶	Number Fully Paid ⁷	Number With Payments On Track ⁷	Number With Payments In Arrears ⁷	Number With No Payment Received ⁷
3 Year Total	Sheriff Court Fines²	74,112	51,938	5,080	11,159	5,935
FY 2009/10 to FY 2011/12			70.1%	6.9%	15.1%	8.0%
FY 2009/10	Sheriff Court Fines ²	26,819	22,057	955	2,704	1,103
			82.2%	3.6%	10.1%	4.1%
FY 2010/11	Sheriff Court Fines ²	24,117	17,427	1,428	3,467	1,795
			72.3%	5.9%	14.4%	7.4%
FY 2011/12	Sheriff Court Fines ²	23,176	12,454	2,697	4,988	3,037
			53.7%	11.6%	21.5%	13.1%
FY 2012/13 Q1	Sheriff Court Fines ²	5,639	1,713	1,078	1,542	1,306
			30.4%	19.1%	27.3%	23.2%
FY 2009/10	JP Court Fines ³	30,367	24,132	482	3,158	2,595
			79.5%	1.6%	10.4%	8.5%
	Fiscal Direct Penalties ⁴	34,579	23,454	1,182	3,913	6,030
			67.8%	3.4%	11.3%	17.4%
	Police Antisocial Behaviour Penalties ⁵	35,613	26,644	254	1,485	7,230
			74.8%	0.7%	4.2%	20.3%
FY 2010/11	JP Court Fines ³	41,330	30,891	1,079	4,934	4,426
			74.7%	2.6%	11.9%	10.7%
	Fiscal Direct Penalties ⁴	37,207	20,890	2,096	5,120	9,101
			56.1%	5.6%	13.8%	24.5%
	Police Antisocial Behaviour Penalties ⁵	51,159	35,392	748	1,929	13,090
			69.2%	1.5%	3.8%	25.6%
FY 2011/12	JP Court Fines ³	36,268	23,978	1,450	5,409	5,431
			66.1%	4.0%	14.9%	15.0%
	Fiscal Direct Penalties ⁴	46,102	18,528	3,051	7,648	16,875
			40.2%	6.6%	16.6%	36.6%
	Police Antisocial Behaviour Penalties ⁵	50,810	31,208	785	2,134	16,683
			61.4%	1.5%	4.2%	32.8%
FY 2012/13 Q1	JP Court Fines ³	8,438	4,318	603	1,617	1,900
			51.2%	7.1%	19.2%	22.5%
	Fiscal Direct Penalties ⁴	11,843	2,517	1,444	2,069	5,813
			21.3%	12.2%	17.5%	49.1%
	Police Antisocial Behaviour Penalties ⁵	14,439	7,686	181	320	6,252
			53.2%	1.3%	2.2%	43.3%

Source: Scottish Court Service, Management Information & Statistics Team - COP2 Data Extraction.

Notes:

1. Figures include fines against both persons and companies. The figures relate to the number of fine accounts. An account may contain more than one fine if an offender receives more than one fine on a single day.
2. Includes Sheriff Court Fines, Compensation Orders and Confiscation Orders.
3. Includes JP Court Fines and Compensation Orders.
4. Includes Fiscal Fines, Fiscal Compensation Offers and the monetary amount of Fiscal Combined Offers.
5. The Police Anti-social Behaviour Penalty figures include Police Fines and those that, if unpaid, become Registered Fines. "Number to be Paid" is consequently the sum of Police Fines paid (£40 each) and Registered Fines (£60 each), minus discharges. The payment percentages relate to proportions of "Number to be Paid". "Number to be Paid" and "Number Fully Paid" also sum payments received from both Police Fines and Registered Fines.
6. This figure is the total fines imposed minus any discharges. Fines may be discharged for a number of reasons. These include a sheriff or JP imposed alternative sentence, imprisonment or death of a defaulter. Discharges include both full discharges and fines which have been discharged following part-payment. The numbers of unpaid £40 Police Fines are discharged when they become £60 Registered Fines to avoid double counting.
7. Percentages are presented as a proportion of "Number to be Paid".

Other notes: Numbers and percentages may not add up to their constituent subtotals and totals due to rounding.

Data Tables

This link [QFR 14 Tables](#) provides an Excel workbook showing the value and number tables as at 11 October 2012.

Background

This is the fourteenth in a series of quarterly reports on fines collection.

Fines Collection Process

The Scottish Court Service (SCS) is responsible for collecting Sheriff Court Fines, Justice of the Peace Court Fines, Fiscal penalties and Antisocial Behaviour Fixed Penalty Notices.

Court imposed fines are either due for payment immediately or by agreed terms at the point of imposition. The majority of fines imposed include an enforcement order which tells the offender how the fine should be paid and what will happen if it is not paid. The enforcement order allows the Fines Enforcement Officer to implement a range of sanctions including deduction from benefit, arrestment of earnings and bank accounts and seizure of vehicles.

Fiscal direct penalties are issued by the Crown Office and Procurator Fiscal Service (COPFS), in the form of a conditional offer. The alleged offender may refuse the offer within 28 days in recognition that prosecution will be the next likely stage. Alternatively, the alleged offender can accept the offer by making payment. If the conditional offer is ignored and payment not made, the responsibility for enforcement passes to the SCS Fines Enforcement Officer, who will seek an enforcement order from the court.

Antisocial Behaviour Fixed Penalty Notices are issued by each police force in Scotland and the money is due within 28 days and is collected by the SCS. If the penalty is not paid within 28 days, the amount due is increased by 50 per cent and the penalty is converted to a registered fine with responsibility for enforcement passing to the SCS Fines Enforcement Officers, who will seek an enforcement order from the court. Figures for value and number of Police Antisocial Behaviour Fixed Penalty Notices paid therefore include both payments made to original police fines and payments made to registered fines.

Money collected by the SCS for criminalised parking fines is subject to different procedures and is not covered in this publication.

Changes in Responsibility for Fines Collection

The SCS took over the responsibility for collection of fiscal penalties in March 2008 from local authorities.

Collection of Justice of the Peace Court Fines and Police Antisocial Behaviour Fixed Penalty Notices became the responsibility of the SCS on completion of the unification of courts administration in each Sheriffdom and applicable dates are:

Lothian and Borders – 10 March 2008

Grampian, Highland and Islands – 2 June 2008

Glasgow and Strathkelvin – 8 December 2008

Tayside, Central and Fife – 23 February 2009

North Strathclyde – 14 December 2009

South Strathclyde, Dumfries & Galloway – 22 February 2010

Guidance on definitions and data

The data source is a management information data extract from COP2 (the SCS operational system used in all Sheriff and Justice of the Peace Courts).

Data may subsequently change over time due to additions or modifications to cases on COP2.

The 'as at' date used throughout the report is the date the fines data is extracted and used to calculate totals for arrears, payments and discharges made, etc.

Figures on fines and other financial penalties are presented on a three financial-year basis to reflect payment cycles and enforcement action taken. This recognises that penalties are levied throughout each year and that arranged instalment payments or enforcement action can mean some may take two or more financial years to be fully paid.

Payment rates for recent years will therefore initially be lower than those for previous years. Estimates of in-year payment figures indicate a broadly consistent collection pattern over time.

Collection rates for Police Antisocial Behaviour Fixed Penalties cover both payments for the Police Fixed Penalty within the initial 28 day period and payments made once the unpaid Fixed Penalty becomes a registered fine with a value 50% higher than the original fixed penalty. Registered fines are enforced by the SCS.

Explanation of categories used in this report:

Value of Fines Imposed	The value of fines imposed within the periods specified.
Value Discharged	This is the value of fines which have been discharged (the value which is <u>not</u> now payable). This can arise in a variety of ways for example where a fine is discharged by a subsequent penalty of a Supervised Attendance Order or Imprisonment, or where the accused dies. Also for Fiscal Penalties it covers instances where the Fiscal Penalty is refused, recalled or withdrawn.
Total Value to be Paid	This is the total value of fines imposed minus the value discharged, and so is the total value of fines which are payable.
Value of Fines Paid to Date	This is the total amount which has been paid to date, and will relate to both fully-paid and part-paid fines.
Value with Payments On Track	This is the total amount which has not been paid as yet, but for which future instalments are on track to be paid (the fine is not in arrears).
Value in Arrears	This is the total amount which has not been paid as yet and for which the fine is in arrears. A fine is in arrears if a payment date has been missed.
Number of Fines Imposed	The number of fines imposed within the periods specified after any court discharges have been deducted.
Number Discharged	This is the number of fines which have been discharged (i.e. the fine is <u>not</u> now payable). This can arise in a variety of ways, for example where a fine is discharged by a subsequent penalty of a Supervised Attendance Order or Imprisonment, or where the accused dies. Also, for Fiscal Penalties, it covers instances where the Fiscal Penalty is refused, recalled or withdrawn.
Number to be Paid	This is the total number of fines imposed minus the

	number discharged, and so is the total number of fines which are payable.
Number Fully Paid	This is the number of fines which have been fully paid.
Number With Payments On Track	This is the number of fines which are being paid in instalments and are not in arrears.
Number With Payments In Arrears	This is the number of fines which are being paid in instalments and are currently in arrears.
Number With No Payments Received	This is the number of fines which have had no payment received and are currently in arrears.

Notes: The 'value' categories do not directly correspond to the 'number' categories. This is because a single fine can have a portion of its value which has been paid and a portion which has not. In this instance, the values would be split between two value categories, however, the fine itself would only be counted once within a number category.

The discharge categories cover situations where there has been no payment prior to discharge, and also instances where there has been a part-payment prior to discharge.

Contacts

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Further information about Official and National Statistics in Scotland is available from <http://www.scotland.gov.uk/Topics/Statistics/About/NATSTATS>

Access to official statistics in their final form before they are published is restricted. The following list details the named positions who receive pre-release access to the statistics in their final form within the maximum period of 5 working days before publication:

Executive Director, Field Services Directorate;
Executive Director, Strategy and Infrastructure Directorate;
Director Operational Policy, Field Services Directorate;
Head of Corporate Communications;
Media Officer, Corporate Communications;
Director of Field Services Development, Field Services Directorate;
Head of Service Development, Field Services Directorate;
National Fines Enforcement Manager;
Fines Enforcement Managers;
Sheriffdom Business Managers;
Team leader – Management Information Analysis Team (MIAT);
Management Information Services Manager, (MIAT);
Web developer, Information Technology Unit.

The next quarterly fines report will be published in February 2013. The exact date of the report will be announced via the Scottish Government's [Forthcoming Publications Schedule](#) at least 4 weeks in advance.